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OCT 15 2001
OFFICE
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/883,845 | 06/18/2001 | Kevin J. Smart | INARI.052A |

CONFIRMATION NO. 9959

20995
KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660

FORMALITIES LETTER



OC000000006422074

Date Mailed: 08/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$860.
 - \$540 for 30 total claims over 20.
 - \$320 for 4 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1700.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

10/17/2001 MBELETE1 00000053 09883845

01 FC:101 740.00 0P
02 FC:105 130.00 0P
03 FC:102 336.00 0P
04 FC:103 540.00 0P

A copy of this notice MUST be returned with the reply.

MTB
Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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AA

SECTOR
PATENT

1/9

Case Docket No. INARI.052A

Date: October 12, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Smart, et al.

App. No. : 09/883,845

Filed : June 18, 2001

For : SLIDING-WINDOW
MULTI-CARRIER
FREQUENCY DIVISION
MULTIPLEXING SYSTEM

Group Art Unit : 2631

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

October 12, 2001

(Date)

Lee W. Henderson

Lee W. Henderson Ph.D., Reg. No. 41,830

TRANSMITTAL LETTER

**ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on August 14, 2001, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) A Notice to File Missing Parts.
- (X) 25 pages of Drawings.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

09/883,845-101501



PATENT

Case Docket No. INARI.052A

Date: October 12, 2001

| | |
|---|---------|
| FILING FEE | \$ 740 |
| FEE FOR 30 TOTAL CLAIMS OVER 20 | \$ 540 |
| FEE FOR 4 INDEPENDENT CLAIMS OVER 3 | \$ 336 |
| FEE FOR EXTENSION OF TIME (LARGE ENTITY) months | \$ 0 |
| SURCHARGE 37 CFR 1.16(e) | \$ +130 |
| TOTAL OF ABOVE CALCULATIONS | \$ 1746 |
| REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY. Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ - N/A | |
| TOTAL FEES SUBMITTED HERewith | \$ 1746 |

- (X) A check in the amount of \$1746.00 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

Lee W. Henderson

Lee W. Henderson Ph.D.
Registration No. 41,830
Attorney of Record